

# On the saturation intensities of fluorescent molecules

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## 1 Introduction

Fluorescence saturation is the onset of a nonlinear relationship between excitation intensity and detected emission. In microscopy methods that exploit nonlinear fluorescence—including saturation-based structured illumination and related harmonic-generation approaches—the relevant question is not whether a molecule can absorb additional photons, but whether the *fluorescence channel* can continue to cycle efficiently as intensity increases. Saturation therefore reflects a breakdown or diversion of the radiative cycling pathway, for example due to excited-state depletion (stimulated emission or finite excited-state lifetime) or shelving into long-lived non-emissive states (e.g. triplets or other dark states).

The goal of these notes is to derive a minimal set of equations that determine the *physical intensity scales* at which fluorescence nonlinearity becomes appreciable for real fluorophores. We begin by relating Einstein coefficients to absorption and stimulated-emission cross sections, emphasizing the role of spectral lineshapes and the frequency–wavelength Jacobian needed for practical use with measured spectra. We then derive the steady-state excited-state population and fluorescence output for (i) an ideal two-level system, yielding

the canonical saturation intensity, and (ii) a three-level system that includes triplet shelving, which can reduce the effective saturation intensity by orders of magnitude. The resulting expressions clarify how saturation thresholds depend on measurable photophysical parameters such as  $\sigma_{\text{abs}}(\lambda)$ ,  $\sigma_{\text{stim}}(\lambda)$ , the fluorescence lifetime  $\tau$ , the radiative lifetime  $\tau_{\text{rad}}$ , and the ratio of intersystem-crossing and triplet-recovery rates.

Throughout, the emphasis is on scaling laws and limiting cases: which parameters set the nonlinear intensity scale, how stimulated emission and dark-state kinetics renormalize that scale, and why experimentally observed saturation can occur far below naive two-level estimates in environments where dark states become long lived.

## 2 Einstein coefficients and optical cross sections

Consider a two-level molecular system with ground state 1 and excited state 2, transition frequency  $\nu = c/\lambda$ , and refractive index of the medium  $n$ .

The Einstein coefficients describe the transition rates per molecule:

$$W_{\text{abs}} = B_{12} \rho(\nu), \quad (1)$$

$$W_{\text{stim}} = B_{21} \rho(\nu), \quad (2)$$

$$W_{\text{sp}} = A_{21}, \quad (3)$$

where  $\rho(\nu)$  is the spectral energy density with units  $\text{J m}^{-3} \text{Hz}^{-1}$ ,  $W$  has units  $\text{s}^{-1}$ ,  $A_{21}$  has units  $\text{s}^{-1}$ , and  $B_{ij}$  is the Einstein coefficient for induced transitions.

Thermal equilibrium with blackbody radiation yields the Einstein relations:

$$B_{12} = B_{21}, \quad (4)$$

$$A_{21} = \frac{8\pi h\nu^3 n^3}{c^3} B_{21}. \quad (5)$$

The intensity  $I(\nu)$  and energy density  $\rho(\nu)$  in a medium are related by

$$I(\nu) = \frac{c}{n} \rho(\nu), \quad (6)$$

where  $I(\nu)$  has units  $\text{W m}^{-2} \text{Hz}^{-1}$ . The photon flux is

$$\Phi(\nu) = \frac{I(\nu)}{h\nu}, \quad (7)$$

with units photons  $\text{m}^{-2} \text{s}^{-1} \text{Hz}^{-1}$ .

### 2.1 Absorption Cross Section

By definition,

$$W_{\text{abs}} = \sigma_{\text{abs}}(\nu) \Phi(\nu), \quad [\sigma_{\text{abs}}] = \text{m}^2, \quad (8)$$

or equivalently

$$W_{\text{abs}} = \sigma_{\text{abs}}(\nu) \frac{I(\nu)}{h\nu}. \quad (9)$$

Using  $W_{\text{abs}} = B_{12}\rho(\nu)$ :

$$B_{12}\rho(\nu) = \sigma_{\text{abs}}(\nu) \frac{1}{h\nu} \frac{c}{n} \rho(\nu). \quad (10)$$

Thus:

$$\sigma_{\text{abs}}(\nu) = \frac{nh\nu}{c} B_{12}, \quad [\sigma_{\text{abs}}] = \text{m}^2. \quad (11)$$

## 2.2 Stimulated Emission Cross Section

Similarly,

$$W_{\text{stim}} = \sigma_{\text{stim}}(\nu) \Phi(\nu), \quad [\sigma_{\text{stim}}] = \text{m}^2, \quad (12)$$

or

$$W_{\text{stim}} = \sigma_{\text{stim}}(\nu) \frac{I(\nu)}{h\nu} = B_{21}\rho(\nu), \quad (13)$$

which yields

$$\sigma_{\text{stim}}(\nu) = \frac{nh\nu}{c} B_{21}, \quad [\sigma_{\text{stim}}] = \text{m}^2. \quad (14)$$

These expressions define  $\sigma_{\text{abs}}$  and  $\sigma_{\text{stim}}$  as effective single-molecule cross sections for interaction with a monochromatic field, independent of population dynamics or line-broadening mechanisms.

Since  $B_{12} = B_{21}$ , absorption and stimulated-emission processes share the same microscopic coupling strength to the radiation field. In molecular fluorophores, however, absorption and emission originate from different vibrational manifolds, so the corresponding cross sections generally differ as functions of wavelength despite having comparable integrated strengths.

## 3 Lineshapes and practical formulas for $\sigma(\lambda)$

Real molecules have vibronic broadening described by normalized lineshapes:

$$\int g_{\text{abs}}(\nu) d\nu = \int g_{\text{em}}(\nu) d\nu = 1. \quad (15)$$

We write:

$$\sigma_{\text{abs}}(\nu) = \frac{nh\nu}{c} B_{12} g_{\text{abs}}(\nu), \quad (16)$$

$$\sigma_{\text{stim}}(\nu) = \frac{nh\nu}{c} B_{21} g_{\text{em}}(\nu). \quad (17)$$

Thus:

$$\frac{\sigma_{\text{stim}}(\nu)}{\sigma_{\text{abs}}(\nu)} = \frac{g_{\text{em}}(\nu)}{g_{\text{abs}}(\nu)}. \quad (18)$$

Using  $A_{21} = 1/\tau_{\text{rad}} = \frac{8\pi h\nu^3 n^3}{c^3} B_{21}$ , we find

$$B_{21} = \frac{c^3}{8\pi h\nu^3 n^3 \tau_{\text{rad}}}. \quad (19)$$

Hence

$$\sigma_{\text{stim}}(\nu) = \frac{nh\nu}{c} \left( \frac{c^3}{8\pi h\nu^3 n^3 \tau_{\text{rad}}} \right) g_{\text{em}}(\nu) = \frac{c^2}{8\pi n^2 \nu^2 \tau_{\text{rad}}} g_{\text{em}}(\nu). \quad (20)$$

In wavelength form ( $\nu = c/\lambda$ ):

$$\boxed{\sigma_{\text{stim}}(\lambda) = \frac{\lambda^2}{8\pi n^2 \tau_{\text{rad}}} g_{\text{em}}(\lambda)}. \quad (21)$$

### 3.1 Jacobian Transformation: Wavelength to Frequency Space

**Important:** Most spectroscopy measurements report emission spectra as intensity per unit wavelength,  $I(\lambda)$ , whereas Einstein coefficients and transition rates are naturally defined in frequency space. A careful change of variables is therefore required.

#### 3.1.1 The Jacobian Factor

The relationship  $\nu = c/\lambda$  implies

$$\frac{d\nu}{d\lambda} = -\frac{c}{\lambda^2}, \quad \left| \frac{d\lambda}{d\nu} \right| = \frac{\lambda^2}{c}. \quad (22)$$

Conservation of spectral intensity requires

$$I(\lambda) d\lambda = I(\nu) d\nu, \quad (23)$$

yielding the Jacobian transformation

$$\boxed{I(\nu) = I(\lambda) \left| \frac{d\lambda}{d\nu} \right| = I(\lambda) \frac{\lambda^2}{c}}. \quad (24)$$

#### 3.1.2 Physical Interpretation

Equal intervals in wavelength do not correspond to equal intervals in frequency. For example:

- At  $\lambda = 600$  nm: a 1 nm interval spans  $\Delta\nu \approx 8.3 \times 10^{11}$  Hz
- At  $\lambda = 800$  nm: a 1 nm interval spans  $\Delta\nu \approx 4.7 \times 10^{11}$  Hz

The ratio  $\Delta\nu(600)/\Delta\nu(800) = (800/600)^2 \approx 1.78$  reflects the general scaling

$$\left| \frac{d\nu}{d\lambda} \right| \propto \frac{1}{\lambda^2}. \quad (25)$$

Quantum transitions are defined by energy (or frequency) conservation, whereas wavelength is a medium-dependent representation of photon energy:

$$E = h\nu = \frac{hc}{\lambda}. \quad (26)$$

### 3.1.3 Normalization of Emission Lineshape

The normalized emission lineshape in frequency space is defined as

$$g_{\text{em}}(\nu) = \frac{I_{\text{em}}(\nu)}{\int_0^{\infty} I_{\text{em}}(\nu) d\nu}, \quad (27)$$

with

$$I_{\text{em}}(\nu) = I_{\text{em}}(\lambda) \frac{\lambda^2}{c}. \quad (28)$$

By construction,

$$\int_0^{\infty} g_{\text{em}}(\nu) d\nu = 1. \quad (29)$$

The stimulated-emission cross section expressed as a function of wavelength is therefore

$$\sigma_{\text{stim}}(\lambda) = \frac{\lambda^2}{8\pi n^2 \tau_{\text{rad}}} g_{\text{em}}(\nu(\lambda)), \quad (30)$$

where  $g_{\text{em}}(\nu)$  is the emission lineshape properly normalized in frequency space.

## 4 From molar extinction to $\sigma_{\text{abs}}(\lambda)$

If the absorption spectrum is measured as a molar extinction coefficient  $\varepsilon(\lambda)$  (units:  $\text{M}^{-1} \text{cm}^{-1}$ ), the corresponding single-molecule absorption cross section is

$$\sigma_{\text{abs}}(\lambda) = \frac{1000 \ln 10}{N_A} \varepsilon(\lambda), \quad (31)$$

where  $N_A$  is Avogadro's number. This expression yields  $\sigma_{\text{abs}}$  in units of  $\text{cm}^2$ ; conversion to SI units is obtained by multiplying by  $10^{-4}$  to give  $\text{m}^2$ .

### 4.1 Relationship to Fluorescence Lifetime

The measured fluorescence lifetime  $\tau$  is related to the total excited-state decay rate:

$$\frac{1}{\tau} = k_{\text{tot}} = k_r + k_{nr}, \quad (32)$$

where:

- $k_r = 1/\tau_{\text{rad}}$  is the radiative decay rate,
- $k_{nr}$  is the sum of all non-radiative decay rates, including internal conversion, intersystem crossing, and other non-emissive processes.

The fluorescence quantum yield is given by:

$$\Phi_F = \frac{k_r}{k_r + k_{nr}} = k_r \cdot \tau = \frac{\tau}{\tau_{\text{rad}}}. \quad (33)$$

Rearranging yields the radiative lifetime:

$$\tau_{\text{rad}} = \frac{\tau}{\Phi_F}. \quad (34)$$

**Important distinction:**

- $\tau$  (the measured fluorescence lifetime) reflects all excited-state decay pathways,
- $\tau_{\text{rad}}$  (the radiative lifetime) is the hypothetical lifetime in the absence of non-radiative decay,
- Always  $\tau_{\text{rad}} \geq \tau$ , with equality only when  $\Phi_F = 1$ .

## 5 Two-level saturation intensity

For an ideal two-level system with ground- and excited-state populations  $N_0$  and  $N_1$  ( $N_0 + N_1 = 1$ ), the population dynamics under monochromatic excitation at wavelength  $\lambda$  and intensity  $I$  are

$$\frac{dN_1}{dt} = W_{\text{ex}}N_0 - \left( W_{\text{stim}} + \frac{1}{\tau} \right) N_1, \quad (35)$$

where

$$W_{\text{ex}} = \frac{\sigma_{\text{abs}}(\lambda) I}{h\nu}, \quad W_{\text{stim}} = \frac{\sigma_{\text{stim}}(\lambda) I}{h\nu}. \quad (36)$$

Using  $N_0 = 1 - N_1$  and setting  $dN_1/dt = 0$ , the steady-state excited-state population is

$$N_1(I; \lambda) = \frac{W_{\text{ex}}}{W_{\text{ex}} + W_{\text{stim}} + 1/\tau}. \quad (37)$$

Substituting the rates and rearranging yields

$$N_1(I; \lambda) = \frac{\sigma_{\text{abs}}(\lambda)}{\sigma_{\text{abs}}(\lambda) + \sigma_{\text{stim}}(\lambda)} \cdot \frac{I}{I + I_{\text{sat}}^{(2)}(\lambda)}, \quad (38)$$

where the two-level saturation intensity is

$$I_{\text{sat}}^{(2)}(\lambda) = \frac{h\nu}{[\sigma_{\text{abs}}(\lambda) + \sigma_{\text{stim}}(\lambda)] \tau}. \quad (39)$$

The prefactor  $\sigma_{\text{abs}}(\lambda)/[\sigma_{\text{abs}}(\lambda) + \sigma_{\text{stim}}(\lambda)]$  sets the wavelength-dependent high-intensity asymptote of the excited-state population, while  $I_{\text{sat}}^{(2)}(\lambda)$  determines the intensity scale for saturation at that wavelength.

## 6 Triplet shelving and effective saturation

We now extend the two-level description to a minimal three-level scheme that explicitly accounts for triplet shelving:

- $S_0$ : ground state, population  $N_0$ ,
- $S_1$ : first excited singlet, population  $N_1$ ,
- $T_1$ : triplet state, population  $N_T$ .

Normalization requires  $N_0 + N_1 + N_T = 1$ .

### 6.1 Rates and Processes

The relevant processes are:

- Optical excitation:  $S_0 \rightarrow S_1$  with rate

$$W_{\text{ex}} = \frac{\sigma_{\text{abs}}(\lambda) I}{h\nu},$$

- Stimulated emission:  $S_1 \rightarrow S_0$  with rate

$$W_{\text{stim}} = \frac{\sigma_{\text{stim}}(\lambda) I}{h\nu},$$

- Radiative decay:  $S_1 \rightarrow S_0$  with rate  $k_r$ ,
- Non-radiative decay (excluding ISC):  $S_1 \rightarrow S_0$  with rate  $k_{nr}$ ,
- Intersystem crossing:  $S_1 \rightarrow T_1$  with rate  $k_{\text{ISC}}$ ,
- Triplet relaxation:  $T_1 \rightarrow S_0$  with rate  $k_T = 1/\tau_T$ .

The total spontaneous decay rate from  $S_1$  is

$$k_{\text{tot}} = k_r + k_{nr} + k_{\text{ISC}}, \quad \tau = \frac{1}{k_{\text{tot}}}. \quad (40)$$

### 6.2 Steady-State Rate Equations

The steady-state population dynamics are governed by

$$0 = W_{\text{ex}}N_0 - (W_{\text{stim}} + k_{\text{tot}})N_1, \quad (41)$$

$$0 = k_{\text{ISC}}N_1 - k_T N_T, \quad (42)$$

$$1 = N_0 + N_1 + N_T. \quad (43)$$

From the triplet balance equation,

$$N_T = \frac{k_{\text{ISC}}}{k_T} N_1, \quad (44)$$

and from the singlet balance equation,

$$N_0 = \frac{W_{\text{stim}} + k_{\text{tot}}}{W_{\text{ex}}} N_1. \quad (45)$$

Substituting into the normalization condition yields

$$N_1 = \frac{1}{\frac{W_{\text{stim}} + k_{\text{tot}}}{W_{\text{ex}}} + 1 + \frac{k_{\text{ISC}}}{k_T}}. \quad (46)$$

### 6.3 Triplet Load Factor and Effective Saturation

We define the dimensionless *triplet load factor*

$$\alpha \equiv 1 + \frac{k_{\text{ISC}}}{k_T}, \quad (47)$$

which quantifies the extent to which excitation events remove population from the cycling singlet manifold by shelving molecules into the triplet state.

Substituting  $W_{\text{ex}} = \sigma_{\text{abs}} I / (h\nu)$  and  $W_{\text{stim}} = \sigma_{\text{stim}} I / (h\nu)$  gives

$$N_1(I; \lambda) = \frac{1}{\alpha + \frac{\sigma_{\text{stim}}(\lambda)}{\sigma_{\text{abs}}(\lambda)} + \frac{h\nu k_{\text{tot}}}{\sigma_{\text{abs}}(\lambda) I}}. \quad (48)$$

This expression can be written exactly in the standard saturating form

$$\boxed{N_1(I; \lambda) = A(\lambda) \frac{I}{I + I_{\text{sat}}^{\text{eff}}(\lambda)}}, \quad (49)$$

with

$$A(\lambda) = \frac{\sigma_{\text{abs}}(\lambda)}{\sigma_{\text{stim}}(\lambda) + \alpha \sigma_{\text{abs}}(\lambda)}, \quad (50)$$

and an effective saturation intensity

$$\boxed{I_{\text{sat}}^{\text{eff}}(\lambda) = \frac{h\nu k_{\text{tot}}}{\sigma_{\text{stim}}(\lambda) + \alpha \sigma_{\text{abs}}(\lambda)} = \frac{h\nu}{\tau [\sigma_{\text{stim}}(\lambda) + \alpha \sigma_{\text{abs}}(\lambda)]}}. \quad (51)$$

**Physical interpretation.** Triplet shelving increases the effective time a molecule is unavailable for singlet cycling, which appears mathematically as a renormalization  $\sigma_{\text{abs}} \rightarrow \alpha \sigma_{\text{abs}}$  in the saturation denominator.

Notice that the saturation intensity derived by Plakhotnik [1] for a three-level system with triplet shelving is formally equivalent to the expression obtained here. Apparent differences arise from conventions in the definition of saturation intensity (notably factors of two) and from whether singlet decay rates are written explicitly or absorbed into the excited-state lifetime.

## 6.4 Fluorescence Signal

The detected fluorescence signal is proportional to the radiative decay rate,

$$F(I; \lambda) = k_r N_1(I; \lambda), \quad (52)$$

and therefore follows the same functional dependence on intensity,

$$F(I; \lambda) \propto \frac{I}{I + I_{\text{sat}}^{\text{eff}}(\lambda)}, \quad (53)$$

up to a wavelength-dependent prefactor set by  $k_r$  and  $A(\lambda)$ .

## 7 Typical Values for Organic Fluorophores

Numerical values below are representative for JF585 and intended to illustrate typical photophysical regimes.

Take representative parameters:

$$\lambda = 585 \text{ nm}, \quad \tau = 4 \text{ ns}, \quad \sigma_{\text{abs}} = 6 \times 10^{-16} \text{ cm}^2.$$

Photon energy:

$$h\nu = \frac{hc}{\lambda} \approx 3.4 \times 10^{-19} \text{ J}.$$

**(1) Bare two-level (no stimulated emission, no triplets).**

$$I_{\text{sat}}^{(2, \text{bare})} = \frac{h\nu}{\tau \sigma_{\text{abs}}} \approx \frac{3.4 \times 10^{-19}}{(4 \times 10^{-9})(6 \times 10^{-16})} \approx 1.4 \times 10^5 \text{ W cm}^{-2}.$$

**(2) Two-level including stimulated emission.** Assume overlap-region stimulated-emission cross section

$$\sigma_{\text{stim}} = 6 \times 10^{-16} \text{ cm}^2 \quad (\text{i.e. } \sigma_{\text{stim}}/\sigma_{\text{abs}} = 1).$$

$$I_{\text{sat}}^{(2)} = \frac{h\nu}{\tau (\sigma_{\text{abs}} + \sigma_{\text{stim}})} \approx \frac{3.4 \times 10^{-19}}{(4 \times 10^{-9})(1.2 \times 10^{-15})} \approx 7.1 \times 10^4 \text{ W cm}^{-2}.$$

**(3) Three-level effective saturation with triplet loading.** Take a representative triplet load factor

$$\alpha = 1 + \frac{k_{\text{ISC}}}{k_T} = 300$$

$$\begin{aligned} I_{\text{sat}}^{\text{eff}} &= \frac{h\nu}{\tau [\sigma_{\text{stim}} + \alpha \sigma_{\text{abs}}]} \\ &\approx \frac{3.4 \times 10^{-19}}{(4 \times 10^{-9}) [(6 \times 10^{-16}) + 300(6 \times 10^{-16})]} \\ &\approx 471 \text{ W cm}^{-2}. \end{aligned}$$

## 8 Triplet loading as a physical control parameter

Recall the triplet load factor

$$\alpha \equiv 1 + \frac{k_{\text{ISC}}}{k_T}. \quad (54)$$

as a central parameter controlling the onset of fluorescence saturation in real molecular systems. Unlike intrinsic fluorophore properties like absorption and stimulated-emission cross sections,  $\alpha$  is an emergent quantity determined by the balance between population flow into and out of the triplet state via intersystem crossing and triplet decay.

Experimental access to triplet kinetics is well established. Fluorescence correlation spectroscopy (FCS) has long been used to quantify triplet-state dynamics in ensemble solution measurements, where triplet shelving appears as a characteristic microsecond-scale component in the fluorescence autocorrelation function [2, 3]. Measurements on common organic dyes in aqueous solution typically yield triplet depopulation rates  $k_T \sim 10^5\text{--}10^6 \text{ s}^{-1}$  under oxygenated conditions. For intersystem crossing rates of comparable magnitude, these values correspond to modest triplet load factors  $\alpha \sim 1\text{--}10$ , consistent with weak triplet accumulation in well-quenched environments [2].

Single-molecule and solid-state measurements demonstrate that triplet relaxation can be strongly suppressed when collisional quenching is reduced. Triplet lifetimes ranging from microseconds to tens of milliseconds have been reported for individual dye molecules embedded in rigid matrices or measured under controlled oxygen conditions [4, 5]. Such lifetimes correspond to reductions of the triplet depopulation rate  $k_T$  by one to three orders of magnitude relative to oxygenated solution, implying triplet load factors  $\alpha$  spanning  $\mathcal{O}(10)$  to  $\mathcal{O}(10^2\text{--}10^3)$  for otherwise typical intersystem crossing rates. These regimes arise naturally in low-oxygen, viscous, crowded, or rigid environments and provide a quantitative explanation for the exceptionally low saturation intensities reported in single-molecule and solid-state spectroscopy, which can reach the  $\text{W cm}^{-2}$  to  $\text{mW cm}^{-2}$  range [1, 6, ?, 7].

From this perspective, triplet shelving is not merely a parasitic loss channel but a tunable photophysical resource. Because  $\alpha$  is controlled primarily by the triplet depopulation rate  $k_T$ , which is highly sensitive to oxygen concentration, matrix rigidity, and temperature, the saturation behavior of a fluorophore directly reflects its local environment. Variations in saturation intensity or nonlinear fluorescence response under otherwise identical excitation conditions therefore provide an experimentally accessible probe of environmental constraints on triplet dynamics. This viewpoint naturally motivates cryogenic and low-temperature implementations of nonlinear fluorescence microscopy, where suppression of phonon-assisted relaxation extends triplet lifetimes, yielding large  $\alpha$  and correspondingly low intensity thresholds for nonlinear response while simultaneously improving photostability.

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